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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Handa *et al.*

Serial No.: 09/692,029

Group Art Unit: Not Yet Assigned

Filed: October 19, 2000

Examiner: Not Yet Assigned

For: Method and System of Managing  
Mutual Early Termination Terms  
For the Electronic Trading of Financial  
Instruments

Attorney Docket No.: ICOR-002-CIP

TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Transmitted herewith are the following documents:

- (1) Information Disclosure Statement;
- (2) Form PTO-1449, listing references; and
- (3) References.

Respectfully submitted,

Date: January 19, 2001

By:

Andrew F. Strobert

35,375

(Reg. No.)

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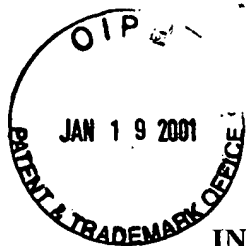
CERTIFICATE OF MAILING UNDER 37 CFR §1.10

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I hereby certify that this paper and all enclosures referred to therein are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

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**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

In accordance with the duty of disclosure provisions of 37 CFR § 1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made.

Enclosures accompanying this Information Disclosure Statement are:


- (1) Form PTO-1449;
- (2) Copies of U.S. patents;
- (3) Copies of foreign patents; and
- (4) Copies of publications.

This Information Disclosure Statement is filed under 37 CFR § 1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or certification is required.

The Commissioner is authorized to charge any additional fee required or credit any overpayment for the Information Disclosure Statement to Skadden, Arps, Slate, Meagher & Flom LLP Deposit Account No. 19-2385.

Respectfully submitted,

Date: January 19, 2001

By:  35,375  
Andrew F. Strobert (Reg. No.)

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